



1PW

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/723,730
	Filing Date	Nov 25, 2003
	First Named Inventor	Larcheveque
	Group Art Unit	2173
	Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number MS1-1698US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO-1449; reference copies (6); return receipt postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael K. Colby, Reg. No. 45,816
Signature	<i>Michael K. Colby</i>
Date	7/24/04

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: _____			
Typed or printed name	Julie Gehlen	Signature	<i>Julie Gehlen</i>
		Date	5/24/04

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.



1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No. 10/723,730
3 Filing Date Nov 25, 2003
4 Confirmation No. 9805
5 Inventorship Larcheveque
6 Applicant Microsoft Corporation
Group Art Unit 2173
7 Examiner
8 Attorney's Docket No. MS1-1698US
9 Title: Validation of XML Data Files

10 **INFORMATION DISCLOSURE STATEMENT**

11 **References – See Attached Form PTO-1449**

12 To: Commissioner for Patents
13 PO Box 1450
14 Alexandria, VA 22313-1450

15 From: Michael K. Colby (Tel. 509-324-9256; Fax 509-323-8979)
16 Lee & Hayes, PLLC
17 421 W. Riverside Avenue, Suite 500
18 Spokane, WA 99201

19 The attached form PTO-1449 is submitted in compliance with Applicant's duty of
20 disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of
21 official record in this application.

22 The Commissioner is hereby authorized to charge payment of fees or credit
23 overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

24 Dated: 29 May 04

25 By: Michael K. Colby
Michael K. Colby
Reg. No. 45,816

Please type a plus sign (+) inside this box → ☐



+

Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/723,730
				Filing Date	Nov 25, 2003
				First Named Inventor	Larcheveque
				Group Art Unit	2173
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	MS1-1698US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		CLARKE, P., "From small beginnings," Knowledge Management, November 2001, pp. 28-30.	
		Description of Whitehill Composer software product, produced by Whitehill Technologies, Inc., available at < http://www.xml.com/pub/p/221 >, accessed on April 8, 2004, two pages.	
		SUN, Q., et al., "A Robust and Secure Media Signature Scheme for JPEG Images," Proceedings of 2002 IEEE Workshop on Multimedia Signal Processing, December 2002, pp. 296-299.	
		KOMATSU, N., et al., "A Proposal on Digital Watermark in Document Image Communication and Its Application to Realizing a Signature," Electronics and Communications in Japan, Part I: Communications, vol. 73, no. 5, May 1990, pp. 22-33.	
		NOORE, A., "A Secure Conditional Access System using Digital Signature and Encryption," International Conference on Consumer Electronics, June 2003, pp. 220-221.	
		PACHECO, X., et al., "Delphi 5 Developer's Guide," Sams Publishing 1999, Section: Data Streaming, 6 pages.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+